

Ohio Processes Incentive Application

Barrel Wraps

| Description | Incentive* | Make/Model# | Tons/Unit | # of Units | Annual Operating Hrs | Date Installed and Operable (mm/yy) | Total Incentive |
|--------------------------------------|------------|-------------|-----------|------------|----------------------|-------------------------------------|-----------------|
| Barrel Wraps (Inj Mold & Extruders) | \$1.00/ton | | tons | | | | |
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* Incentive cap at 50% of material cost.

Pellet Dryer Duct Insulation

| Description | Incentive* | Make/Model# | Amount of Insulation Installed (in feet) | Annual Operating Hrs | Date Installed and Operable (mm/yy) | Total Incentive |
|--|-------------|-------------|--|----------------------|-------------------------------------|-----------------|
| Pellet Dryer Duct Insulation 3" diameter | \$15.00/ft. | | ft. | | | |
| Pellet Dryer Duct Insulation 4" diameter | \$20.00/ft. | | ft. | | | |
| Pellet Dryer Duct Insulation 5" diameter | \$25.00/ft. | | ft. | | | |
| Pellet Dryer Duct Insulation 6" diameter | \$30.00/ft. | | ft. | | | |
| Pellet Dryer Duct Insulation 8" diameter | \$40.00/ft. | | ft. | | | |

* Incentive cap at 50% of material cost.

Engineered Nozzles - COMPRESSED AIR

| Description | Incentive | Make/Model# | # of Units | Pipe or Nozzle Size* | Annual Operating Hrs | Date Installed and Operable (mm/yy) | Total Incentive |
|-------------------------------------|--------------|-------------|------------|----------------------|----------------------|-------------------------------------|-----------------|
| Engineered Nozzles - COMPRESSED AIR | \$20.00/unit | | | | | | |
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| Engineered Nozzles - COMPRESSED AIR | \$20.00/unit | | | | | | |

* Must be between 1/8" to 1/2" in diameter

FOR OFFICE USE ONLY

Total Incentive: \$ _____

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Incentive Table

| Description | Size Range | Incentive |
|---|-------------|-------------|
| Barrel Wraps (Injection Mold & Extruders) | All sizes | \$1.00/ton* |
| Pellet Dryer Duct Installation* | | |
| | 3" diameter | \$15.00/ft |
| | 4" diameter | \$20.00/ft |
| | 5" diameter | \$25.00/ft |
| | 6" diameter | \$30.00/ft |
| | 8" diameter | \$40.00/ft |
| Engineered Nozzles - COMPRESS AIR** | All sizes | \$20.00 |

* Incentive cap at 50% of material cost.

** Nozzles must be between 1/8" and 1/2" in diameter.

Program requirements

Equipment Eligibility

- Barrel Wraps: Must have a minimum 1" thick ceramic fiber or mat. Incentive cap at 50% of material cost.
- Pellet Dryer Duct Insulation: A minimum of 1" of insulation, R-4 or greater (per inch of insulation) is required. There is an incentive cap of 50% of the total material cost.
- Engineered Nozzles: Must meet SCFM rating at 80psig less than or equal to: 1/8" 10 SCFM, 1/4" 17 SCFM, 5/16" 18 SCFM, 1/2" 20 SCFM.

Incentive Eligibility

- Incentives are only available to Duke Energy Ohio business customers not on rate TS (Service at Transmission Voltage.)
- Incentive will not be paid until the measure has been installed, is available to operate, and verification has been completed by Duke Energy staff as noted in the Terms & Conditions stated below.
- Duke Energy reserves the right to revise incentive levels and/or qualifying efficiency levels at anytime.
- Customer may assign the incentive to the vendor who installed/supplied the equipment. The customer's signature is required in the appropriate places on the this form to assign over the incentive. Customer agrees that such action constitutes an irrevocable assignment of the incentive. The assigned incentive must reduce the purchase price paid for the equipment by an equivalent amount.
- Any equipment which, either separately or as part of a project, has or will receive an incentive from any other Duke Energy program is ineligible.
- **Duke Energy limits incentive payments to a maximum of \$50,000 per calendar year per facility for all eligible technologies combined. Incentives will be paid on a "first-come, first-served" basis.**
- In no case will Duke Energy pay an incentive above the actual cost of the new equipment.
- To reserve incentives prior to project completion, submit an application form completed in its entirety signed by the customer. Check box indicating "reservation" and provide an estimated date of completion. Attach a copy of the proposal and submit to Duke Energy at the address indicated on the front of this application. If project is not completed by the estimated completion date, you must notify Duke Energy of a revised date or Duke Energy retains the right to release the money from the reservation pool for other completed projects.
- Incentive recipient assumes all responsibilities for any tax consequences resulting from Duke Energy incentive payment.

TERMS & CONDITIONS

I certify that this premise is served by Duke Energy (or an affiliate of Duke Energy), that the information provided herein is accurate and complete, and that I have purchased and installed the high efficiency equipment (indicated herein) for the business facility listed herein and not for resale. Attached is an itemized invoice for the indicated installed equipment. I understand that the proposed incentive payment from Duke Energy is subject to change based on verification and Duke Energy approval. I agree to Duke Energy verification of both the sales transaction and equipment installation which may include a site inspection by a Duke Energy representative or Duke Energy agent. I understand that I am not allowed to receive more than one incentive from Duke Energy on any piece of equipment. I also understand that my participation in the program may be taxable and that my company is solely responsible for paying all such taxes. I hereby agree to indemnify, hold harmless and release Duke Energy and its affiliates from any actions or claims in regards to the installation, operation and disposal of equipment (and related materials) covered herein including liability from any incidental or consequential damages. Duke Energy does not endorse any particular manufacturer, product or system design within these programs; does not expressly or implicitly warrant the performance of installed equipment (Contact your contractor for details regarding equipment warranties.); and is not liable for any damage caused by the installation of the equipment nor for any damage caused by the malfunction of the installed equipment.



INCENTIVE APPLICATION INSTRUCTIONS

IMPORTANT NOTICE

Delays in processing incentive payments will occur if required documentation is not included with completed application(s).

1. Contact Duke Energy toll free at 866-380-9580 to confirm customer eligibility. Applications are available for download at www.duke-energy.com.
2. Review program and equipment requirements on the incentive application.
3. Purchase and install energy-efficient equipment.
4. Complete and submit application within 90 days **after** equipment has been installed and is operational.
5. The following items must be included to verify projects. If they are not included, it will delay payment of incentive.
 - A. Please include an itemized invoice including cost, quantity, model number and manufacturer's data sheet for all measures.
 - B. Provide required tax ID# for payee.
6. Duke Energy may require site verification of projects that have been self installed, prior to payment of incentive.
7. Customer must sign and date the application after reviewing the Terms & Conditions. If customer wishes to **assign payment of the incentive directly to the vendor**, the customer should circle the appropriate payee in the Payment Information section of the application and sign their name to authorize payment.
8. Incentive payouts are limited to a maximum of \$50,000 per calendar year per facility for all eligible technologies combined.
9. Mail complete, signed application with all required documents to the following address:

Duke Energy
C&I DSM Programs
5632 W. 74th St.
Indianapolis, IN 46278
10. A percentage of equipment installations will be site verified for quality assurance purposes. Once selected, a Duke Energy representative will contact the customer to arrange for the inspection. All incentive payments related to the project will be withheld until site verification is complete. There is no charge to the customer for these inspections.

FREQUENTLY ASKED QUESTIONS ABOUT OUR INCENTIVES



WHO IS ELIGIBLE TO PARTICIPATE?

Duke Energy Ohio business customers not on rate TS (Service at Transmission Voltage).

WHAT DEFINES A PARTICIPATING VENDOR?

Any business that assists Duke Energy customers with the purchase and/or installation of energy-efficient equipment. Business examples may include, energy service companies, electric or HVAC contractors, engineering or architectural firms, equipment manufacturers or distributors, etc.

HOW DO I BECOME A PARTICIPATING VENDOR?

Assist any eligible customer in completing the incentive application and submit it with the required paperwork to process the payment. Your company's name will be added to the participating vendor list used in customer mailings and on the Web. For your company to remain on the vendor list, you must submit at least one incentive application every 18 months.

IS A NEWLY CONSTRUCTED BUILDING ELIGIBLE FOR THE PROGRAM?

New construction is eligible for all incentives with the exception of the equipment indicated as retrofit only.

WHAT IF I WANT TO INSTALL MY OWN EQUIPMENT?

Duke Energy may require site verification for projects that have been self installed. Self installed labor costs are not eligible for incentives.

WHO RECEIVES THE INCENTIVE PAYMENT?

Incentive checks are paid directly to the customer unless the customer authorizes assignment of the payment directly to the vendor. The customer's signature is required on the application to assign payment to the vendor.

HOW LONG DO I HAVE TO SUBMIT THE INCENTIVE APPLICATION?

Incentive applications must be submitted within 90 days of project completion. Applications older than 90 days will be considered on an individual basis by Duke Energy staff.

WHAT HAPPENS IF INCENTIVES CHANGE BEFORE MY PROJECT IS COMPLETED?

Incentives are subject to change based on changes in the market. Duke Energy will post all changes at www.duke-energy.com and it will be reflected on program materials. Projects that were proposed prior to the date the incentives changed will be eligible for the incentive amount at the time the proposal was written. A copy of the proposal will be required. Projects without a proposal will be eligible for the old incentives if application is received within three months of the date the incentive was changed.